

# Secure and Flexible Global File Sharing \*

Stefan Miltchev, Vassilis Prevelakis, Sotiris Ioannidis,  
Angelos D. Keromytis, and Jonatham M. Smith  
{miltchev,vassilip,sotiris,angelos,jms}@dsl.cis.upenn.edu  
CIS Department  
University of Pennsylvania

## Abstract

Sharing of files is a major application of computer networks, with examples ranging from LAN-based network file systems to wide-area applications such as use of version control systems in distributed software development. Identification, authentication and access control are much more challenging in this complex large-scale distributed environment.

In this paper, we introduce the Distributed Credential Filesystem (DisCFS). Under DisCFS, credentials are used to identify both the files stored in the file system and the users that are permitted to access them, as well as the circumstances under which such access is allowed. As with traditional *capabilities*, users can delegate access rights (and thus share information) simply by issuing new credentials. Credentials allow files to be accessed by remote users that are not known *a priori* to the server. Our design achieves an elegant separation of policy and mechanism which is mirrored in the implementation.

Our prototype implementation of DisCFS runs under OpenBSD 2.8, using a modified user-level NFS server. Our measurements suggest

that flexible and secure file sharing can be made scalable at a surprisingly low performance cost.

**Keywords:** Filesystems, access control, Trust Management, KeyNote, OpenBSD, credentials.

## 1 Introduction

The widespread use of the Internet demonstrates the importance of information sharing. This widespread use has also spurred a shift from its original use as an open network where information was freely available, towards one where various organizations and users wish to share information under some (often restrictive) policy. It has proven very difficult, in practice, to make even the simplest policies scalable and secure. In many respects, this is due to both the complexity of the distributed environment and the need to specify and enforce global policies on information sharing.

In this paper we present a system that allows file sharing to be performed between users of different domains without the intervention of the administrators of their systems. The system stores access permissions on special certificates that are issued by users. For example, if Alice

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wants to read Bob's paper, Bob only has to issue the appropriate credential and send it to Alice (*e.g.*, via email).

We will show that this simple mechanism is secure and scalable. Further, by requiring the cooperation of only the users involved in the file exchange, this mechanism offers great flexibility and low administrative overheads. Access to the files may be monitored by the system and the entity issuing the requests may be identified through its public key. Mechanisms for restricting access or imposing access controls are also provided.

We have integrated our access mechanism with a user-level NFSv2 server running on many Unix systems including OpenBSD 2.8 [2]. The performance measurements collected by running common file related benchmarks indicate that our approach is very efficient. We endeavor to eventually offer this access mechanism as part of the standard NFS authentication framework.

*Organization* The paper is organized into six further sections. The next section provides a more complete motivation for our system. Section 3 discusses related work. Sections 4 and 5 describe our design and implementation under the OpenBSD operating system. Section 6 evaluates the system using both micro- and macro-benchmarks. Finally Section 7 concludes the paper with a summary of our results and our future plans.

## 2 Motivation

Existing systems have several major shortcomings in when used to carry out information sharing tasks:

First, traditional user authentication implies

that the user is known to the system, before file requests can be processed. However, the commonly used information access model on the Web is that browsers can download pages from Web servers without prior registration (*i.e.*, anonymously).

Second, file and directory permissions are inherited from multi-user computer operating systems. Sharing is achieved by either account sharing (which is extremely ill-advised, as it defeats accountability) or through the use of group access permissions on files and directories. However, group permissions assume the intervention of the system administrator for creating the user accounts, and adding the appropriate users to the correct groups. Such permissions lack flexibility and granularity, and perhaps most important, extensibility: there is no way of adding new permissions if the existing ones prove inadequate.

The third and most important shortcoming is the extensive administrative intervention required for file sharing to work, such as password and group password files. Where users belong to a common organization this is not a severe problem. Yet, if users from separate organizations wish to share files, the administrative complexity rapidly blooms into impossibility.

A typical example is as follows: Bob, a salesman, would like some clients to be able to have access to advance information about a product. Since the information is not intended to be widely available, Bob will have to place the literature in a restricted part of the corporate Web site and make arrangements so that only the designated clients have access to the material. The traditional way of doing things implies that accounts and passwords are created and handed over to the users. A more sophisticated way of achieving the same goal would be to use X.509 [7]

credentials for user authentication. While this approach addresses some of the well-known security problems of password authentication, it leaves much to be desired in terms of flexibility and required administrative intervention.

For example, accounts must still be set up on the server, placing additional burdens on the administrators who now must maintain yet another list of users. The other problem relates to the actual management of permissions that are given to these credentials; Bob will have to go through his client list and tell the administrators who can access what, thus generating an access list that matches credentials to permissions. While this approach may work for small groups of clients, it does not scale well.

To have effective sharing of information while maintaining control over who has access requires that a number of requirements must be met. For convenience, we distinguish *internal* and *external* users. Internal users are those who have accounts on the system. These users can create files and assign access permissions to them. External users do not have accounts and are otherwise unknown to the system. In our previous example Bob would be an internal user, while his clients would be external users. We assume that the number of local users is minute compared to the number of external users. With this definition in mind, the requirements are as follows:

- **Default policy.** The administrator should be able to specify the default access policies for the entire system. Since these vary between sites, the system should not make assumptions.
- **Scaling.** The system should be able to cope with large numbers of files and even larger number of users accessing those files.

- There should be no involvement of the administrators in the process of allowing external users access to files in the system. The users themselves should be able to authorize access to files by external users.
- Apart from the actual files, the system should maintain as little additional state as possible.
- Delegation is extremely important for the operation of the system, since there is already an implicit delegation of access authority from the administrators to the local users and from the local users to external users.
- The file access conditions must be flexible and expandable. In any case there should be no constraints by the system as to what conditions may be imposed for access.
- The access mechanism should work for both centralized servers and in a distributed environment where the files are stored in multiple servers.

Before we continue with the description of the Distributed Credential File System (DisCFS), which was designed and implemented to meet the listed requirements, we will discuss previous work done in the area of wide area file sharing.

### 3 Related Work

Network file sharing is an area that has attracted a lot of attention given the need for information exchange. The explosion in the growth of the Internet over the past several years, and the projections that the growth will continue at a similar pace, makes file sharing an even more

important issue. There are however a number of problems in the proposed and already existing sharing mechanisms.

### 3.1 File Systems

Network file systems, like NFS and AFS [16, 10] are the most popular and widespread mechanisms for sharing files in tight administration domains. However, crossing administrative boundaries creates numerous administrative problems (*e.g.*, merging distinct Kerberos [14] realms or NIS domains).

Encrypting file systems like CFS [3] place great emphasis on maintaining the privacy of the user information by encrypting the file names and their contents. The limitation of such systems is that sharing is particularly difficult to implement; the file owner must somehow communicate the secret encryption key for the file to all the users that wish to access it. Even then, traditional access controls must still be used to enforce access restrictions (*e.g.*, read-only, append-only, immutable file, *etc.*). Our system assumes that the server is trustworthy, so that the files can be stored in clear text. CFS-like encryption mechanisms may still be used on top of DisCFS.

The concept of credential-based access control appears also in the Exokernel [13]. In this system, users can create new capabilities at will, but the new capability must be dominated by an existing one. This is similar to our chains of certificates, but is rather limited by the fact that permissions are hardwired into the system, the hierarchical capability tree may be up to 8 levels deep, and the access-list based control mechanism is inflexible. In our system, certificate chains can be of arbitrary length, and the access policy can consider factors such as time-of-day, so that, for example, leisure-related files

may not be available during office hours.

WebFS is part of the larger WebOS[17] project at UC Berkeley. It implements a network file system on top of the HTTP protocol. WebFS relies on user level HTTP servers, used to transfer data, along with a kernel module that implements the file system. Access control lists (ACLs) are associated with each file that enumerate users who have read, write, or execute permission on individual files. Users are uniquely identified by their public keys. We have taken a more general and scalable approach in that there is no need for ACLs since each credential is sufficient to identify both the users and their privileges.

The system that is most closely related to our work is the secure file system, or SFS [12]. SFS introduces the notion of *self-certifying pathnames*—file names that effectively contain the appropriate remote server’s public key. In this way SFS needs no separate key management machinery to communicate securely with file servers. Our DisCFS goes a step further. It uses credentials to identify both the files stored in the file system and the users that are permitted to access them, as well as the circumstances under which such access is allowed. Furthermore, users can delegate access rights simply by issuing new credentials, providing a natural and very scalable way of sharing information.

### 3.2 Other Protocols

To share files across wide area networks a number of protocols have been deployed, the most commonly used ones being FTP and HTTP [15, 8]. Anonymous FTP, where there is no need for authentication, offers total flexibility since any user can download or upload files to FTP servers. Similarly in the Web architecture, ac-

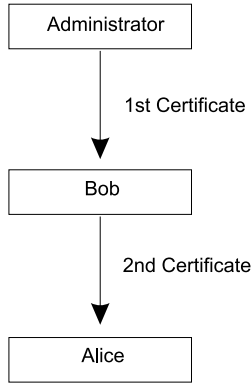


Figure 1: **Delegation of privileges, from the administrator to Bob, and then to Alice.**

cess is either anonymous or subject to some sort of ad-hoc authentication mechanism. This configuration is useful only in the case where file content is non-critical. In the case where authentication is required, the flexibility is reduced to an absolute minimum. The only users allowed to access the server, in that case, are users that are already known to the system. This, as is the case with existing network file systems, limits the collaboration possibilities only between users in the same administration domain.

## 4 DisCFS Design

### 4.1 System Architecture

The basic principle behind DisCFS is *Trust Management* [5, 6, 4]. Trust Management dispenses with unique names as an indirect means for performing access control. Instead, it uses a direct binding between a public key and a set of authorizations. This results in an extremely decentralized authorization system that is flexible enough

to cope with a large variety of authentication scenarios.

Rather than having users authenticated by the system and then checking access lists to see whether their requests should be honored or not, our system is based entirely on keys and authorizations. User requests are signed by the user's key and must be accompanied by other credentials that form a chain of trust linking the user's key to a key that is trusted by the system. In our first example in Section 1, we looked at Bob's predicament in trying to allow his clients access to internal files. Utilizing a trust management system, the server would trust only the administrator's key. Bob will be given a credential that binds Bob's key with the files in question and is signed by the administrator. The credential may allow Bob read and write access to the files.

If Bob then wishes Alice to be able to only read these files, he will simply need to create a new credential which will grant Alice's key read access to the files. Alice will issue a request signed by her key. If Alice's request is to be honored by the system, it has to be accompanied by Bob's credential. This credential forms a link between the external user (Alice) and the internal user (Bob). Bob's own credential (issued by the administrator) must also be available, to link the internal user to the administrator. Thus, Alice's request must be accompanied by both credentials in order to be granted (see Figure 1). Credential caching may be used to reduce the number of credentials that have to be exchanged.

It is interesting to observe that in DisCFS the traditional problem of credential (or certificate) revocation is fairly straightforward to address: since the credentials related to a specific file have to be examined by the DisCFS server where the file is stored, revocation (especially if it is infrequent) can be done by notifying the server about

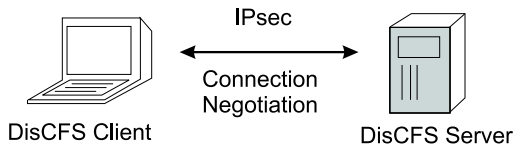


Figure 2: Client establishes IPsec connection with DisCFS server.

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bad keys or credentials. If the credentials are relatively short-lived, the server need only remember such information for a short period of time.

In order to be able to express access rights and the diverse conditions under which these are granted, we need some form of policy definition language. In our system we use the Keynote trust management system [4] for this purpose.

## 4.2 KeyNote in DisCFS

The basic service provided by the KeyNote system is compliance checking; that is, checking whether a proposed action conforms to policy. Actions in KeyNote are specified as a set of name-value pairs, called an action attribute set. Policies are written in the KeyNote assertion language and either accept or reject action attribute sets presented to it (non-binary results are also possible). Policies can be broken up and distributed as credentials, which are signed assertions that can be sent over a network and to which a local policy can defer in making its decisions. The credential mechanism allows for arbitrarily complex graphs of trust, in which credentials signed by several entities are considered when authorizing actions.

The advantage of using Keynote is that we no longer need to have *a priori* knowledge of the user base. Thus, the system does not need to

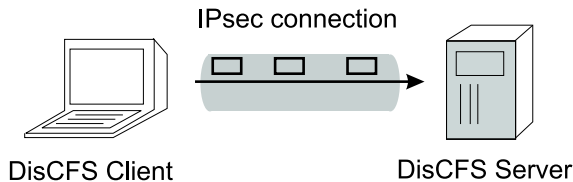


Figure 3: Client sends file-related credentials to the DisCFS server; file becomes visible to client.

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store information about every person or entity that may need to retrieve a file. We also provide our users with the ability to propagate access to the files by simply passing on (delegating) their rights to other users. In this way users pass credentials rather than passwords, thus allowing the system to associate access requests with keys and also to be able to reconstruct the authorization path from the administrator to the user making the request. The system may not know that Alice is trying to get at a file, but it can log that key A (Alice's key) was used and that key B (Bob's key) authorized the operation.

During the writing of this paper we encountered one obvious application of our system. The administrator of the host that we were using for editing the paper had failed to create a group for all of us. Since we could not find a group that we all belonged to, the only way for all of us to be able to access the CVS repository with the files was to make them world writable. If the central server supported DisCFS then the owner of the repository would simply need to issue read-write certificates to all the other authors.

### 4.3 DisCFS over NFS

As the actual network filesystem we use NFS. This allows for easy integration into existing systems without need for extensive upgrades. Moreover, the entire scheme works with both monolithic and distributed servers. Since the servers do not need to share information about users, there is no synchronization overhead. Each repository is responsible for only the part of the distributed filesystem that is stored locally and there is no need to distribute and synchronize authentication and access control databases (like NIS).

The NFS protocol is particularly suitable for our needs for the following reasons:

- NFS is widely used and supported by numerous platforms.
- The NFS protocol is portable, stable and reliable.
- The NFS server is available as a user level program, so development is possible without modifications to the operating system kernel. This is particularly useful since it is not always possible to have access to the operating system source.

Like NFS, the DisCFS system consists of a client and a server. The client runs on the user workstation and establishes a connection to the DisCFS server. We use IPsec [11] for the connection between the client and the server (as shown in Figure 2) thus ensuring the following:

- User authentication is handled through the creation of the IPsec Security Associations between the client and the server.

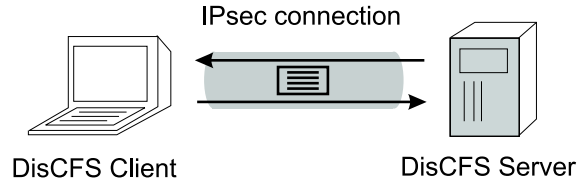


Figure 4: **Client sends read requests, server sends file blocks to client if policy allows the operation.**

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- A secure link between the client and the server is established so that subsequent communications are secure.
  - All requests coming over the IPsec link can be safely assumed to come from the authorized user.

When a file is stored in DisCFS, a credential is generated containing information that allows the future retrieval of the file contents as well as information about the file creator. Since the entire DisCFS closely follows NFS semantics, it appears to the user as another mounted file system. Files for which credentials have been supplied appear under the mount point of the DisCFS file system. It is important to note that without the credential, retrieval of the file is not possible.

Once the user submits the necessary file credentials (Figure 3, the file will appear under the DisCFS mount point using the same name it had when its credential was created. The client may then use file I/O requests similar to NFS (Figure 4). The system also permits the user to override the default file name and allows files to be placed in user-specified locations.

## 5 Implementation Details

We built our implementation of DisCFS by modifying the existing user-level daemon of the cryptographic file system CFS [3]. In the prototype, we replaced the encryption functionality of CFS with the access control mechanism described in Section 4. For our platform, we used OpenBSD 2.8 [2] since it already contains several important components of our system, such as IPsec and KeyNote. However, the implementation is fairly portable across different systems.

The main task in implementing DisCFS was the integration of KeyNote credentials with NFS. To that end, we used a modified version of the CFS *cattach* utility that sets up an IPsec tunnel between the client system and the DisCFS server and attaches the remote directory over the IPsec connection. This allows the DisCFS server retrieve the public key used for authentication in the IKE [9] protocol (as part of the IPsec key establishment phase) and associate it with a unix-style *userid*. Future NFS requests are protected with IPsec, allowing the DisCFS server to associate them with the public key of the user.

As a result of the attach operation, the desired directory would appear under the default DisCFS mount point (*e.g.*, */discfs*). However, since the user has not provided a KeyNote credential assertion, the file permissions of the attached directory are set to 000 (meaning no access is granted). The file/directory ownership is set to the *userid* provided during the attach operation. This value has no local significance for the DisCFS server, and thus no prior arrangement with the system administrator is needed. Similarly, no file ownership conflicts are possible; the *userid* is irrelevant to the DisCFS server, and is only manipulated in this way to make possible

the use of unmodified NFS clients.

To get any privileges to the attached directory or any other files/directories in it, the user would have to have a credential like the one shown in Figure 5. This credential was issued by the administrator (as identified by the public key appearing in the Authorizer field) to a specific user (as identified by the public key appearing in the Licensees field), and contains enough information for the DisCFS server to determine what permissions should be granted to the client system. A file/directory is identified by a handle, which, in our prototype implementation, is simply the inode number of the file/directory on the server. This handle is used by the DisCFS server to locate the actual file in its local file storage. The handle specifics need to be changed in the future since inodes are not suitable as globally unique identifier across a network. A possible solution would be to build a handle from the inode number and a *generation number*, similar to the 4.4 BSD NFS implementation.

The credential assertions in our implementation grant standard unix permissions. The return values for the assertions form a partial order of 8 combinations ( "false", "X", "W", "WX", "R", "RX", "RW" and "RWX") and translate directly into the standard octal representation. Thus, in the credential of Figure 5 the user is granted read, write, and execute access on the testdir directory. We wrote a utility which allows a user to submit credential assertions to the DisCFS daemon over RPC. Successfully submitted credential assertions are added to a persistent KeyNote session. Following this operation, the permissions of the attached directory are changed accordingly. When read or write operations occur however, the KeyNote is consulted again on whether the specific requests should be granted; thus, the DisCFS server does not have



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Authorizer: "dsa-hex:3081de0240503ca3b98b754259d8b3bdd6ed3960"  
Licensees: "dsa-hex:3081de02405be60a70c5321e7fd20fd4d0d2a4f6"  
Conditions: (app_domain == "DisCFS") &&  
            (HANDLE == "666240") -> "RWX";  
Comment:    "testdir"  
signature: "sig-dsa-sha1-hex:302e021500eeb15af1a109800171649"
```

Figure 5: **KeyNote** credential granting user *miltchev* access to directory *testdir*. The keys and signatures have been truncated in the interest of readability.

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to trust the client to enforce the file permissions. To improve performance, we use a cache of requested operations and policy results.

It should also be noted that some of the procedures defined by the NFS protocol do not make semantic sense for our implementation. For example, since access control is managed through credential assertions the *setattr* procedure becomes superfluous. The careful reader will also notice that there is a problem with the *create* and *mkdir* procedures. A user could create a file in the attached directory since he has read, write, and execute access. However, he would not be able to access the newly created file since he would not have a credential assertion for it. Thus, we had to add our own procedures that upon successful creation of a file/directory return a credential with full access to the creator of the file. The owner can then issue other credentials further delegating access to this file/directory.

## 6 Experimental Evaluation

While the architectural discussion is largely qualitative, some estimates of the system performance are useful. With a design such as this, the most useful data would be system bench-

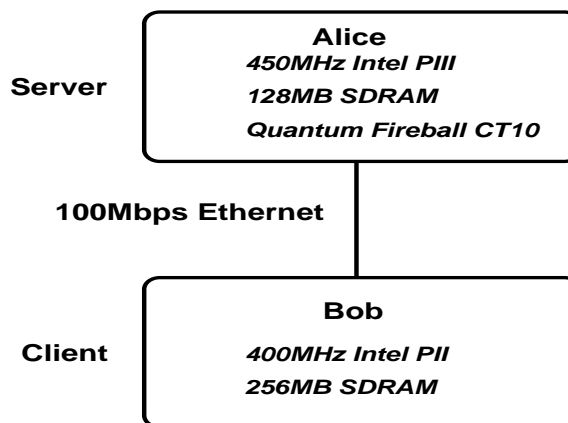


Figure 6: **Experimental setup**. Alice is the machine that hosts the server and Bob is used as the client. Local file system experiments were performed on Alice.

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marks for applications in distributed environments. We performed several experiments, both micro-benchmarks and macro-benchmarks, to get a quantitative evaluation. The experiments are focused on any possible performance overheads introduced by our access control mechanism.

Our test machines are x86 architecture machines running OpenBSD 2.8 and interconnected by 100 Mbps Ethernet. More specifically, in the

two-host tests (source to sink) that explore the network performance of our system, Alice is an 450 MHz Intel PIII with 128MB of memory and a Quantum Fireball CT10 9.6GB, and serves as the sink. Bob, the source, is a 400 MHz Intel PII with 256MB of memory (see Figure 6). The single host tests, that explore the storage performance of our system were performed on Alice. Our prototype system is running on Alice, with Bob playing the role of the client.

In the following tables, *FFS* means measurements taken on the local file system. *CFS-NE* is our base case: it is basically CFS with encryption turned off and modified to run remotely. The server was running on Alice and the client on Bob. Finally *DisCFS* is our prototype.

### 6.1 Micro-benchmarks

The *Bonnie* benchmark [1] was used in order to evaluate the performance when writing and reading a very large file (100MB). Figures 7, 8, and 9 present results for single-character writes, block writes and re-writes respectively. The results for single character reads and block reads are presented in Figures 10 and 11. The performance advantage of the local file system (*FFS*) comes as no surprise. However, this benchmark demonstrates that the read and write performance of *CFS-NE* and *DisCFS* is virtually identical. Hence, we can conclude that the overhead incurred by the KeyNote credential lookups when using cached policy results is minimal.

### 6.2 Macro-benchmark

To test file system search performance we used a simple script that goes through every *.c* and *.h* file of the OpenBSD kernel source code and

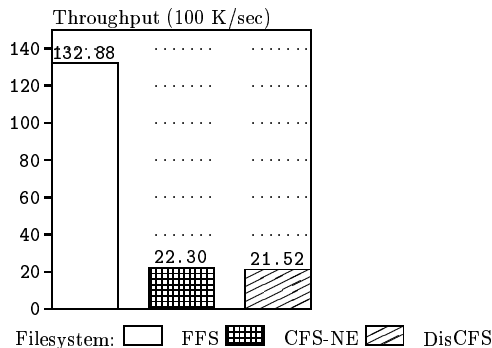


Figure 7: Bonnie Sequential Output (Char)

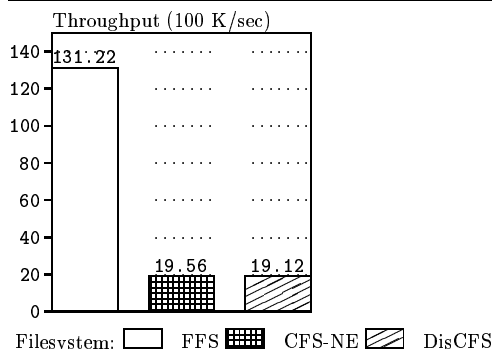


Figure 8: Bonnie Sequential Output (Block)

counts the number of lines, words and bytes. The test was conducted with a cache size of 128 policy results. The results are presented in Figure 12. As with the micro-benchmarks, *CFS-NE* and *DisCFS* exhibit practically identical performance characteristics.

## 7 Conclusions

There are three major contributions of this paper.

First, we have introduced the idea of a completely credential-based mechanism for authentication and access control of files. We argue that this design is a fundamental improvement,

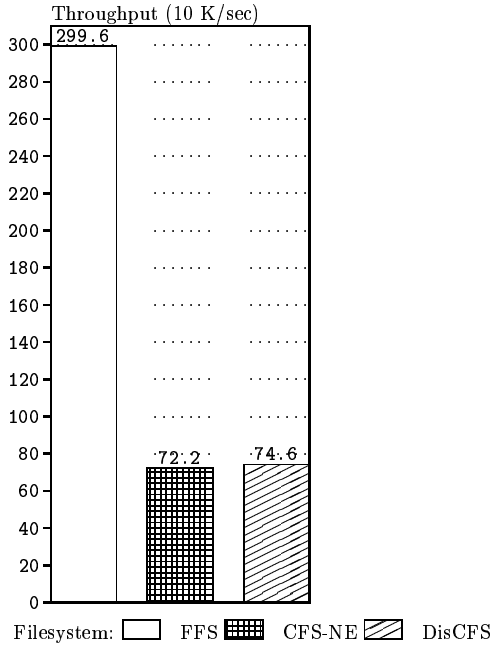


Figure 9: Bonnie Sequential Output (Rewrite)

as it *completely* separates the *policy* for controlling the file (*i.e.*, its associated users and access rights) from the access control *mechanism* used by the underlying file storage. As we have argued in the paper, this gives DisCFS advantages in flexibility, security and scalability relative to previous designs.

Second, we have described our DisCFS prototype, which is based on OpenBSD 2.8 and CFS [3]. The implementation uses the KeyNote trust management system as the basis for robust scalable credential management. It supports common unix file operations. The prototype shows that it is remarkably easy to both implement and deploy DisCFS, as it uses components such as NFS and IPsec, which already exist in most common operating systems. Furthermore, the traditional semantics of the unix filesystem can easily be supported by DisCFS.

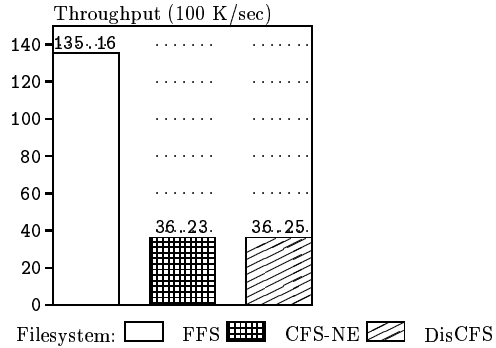


Figure 10: Bonnie Sequential Input (Char)

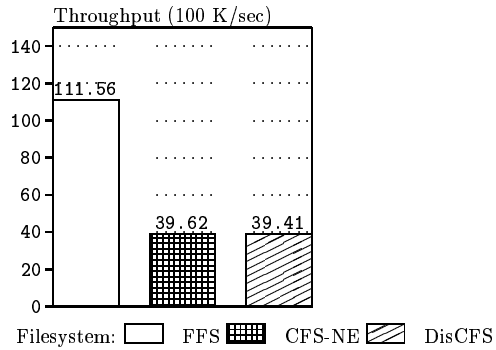


Figure 11: Bonnie Sequential Input (Block)

Third, we evaluated the system’s performance with a set of micro-benchmarks which measured primitive operations in the context of our access control mechanism. This demonstrated that DisCFS was constrained by the same factors, such as remote RPC times, which plague other distributed systems. In a second evaluation, we compared the performance of DisCFS to CFS, a more “macro” benchmark, and showed that the performance impact of DisCFS’s enhancements is low.

Among the directions we will pursue for future work are investigation of new file sharing policies for unusual scenarios, such as the un-

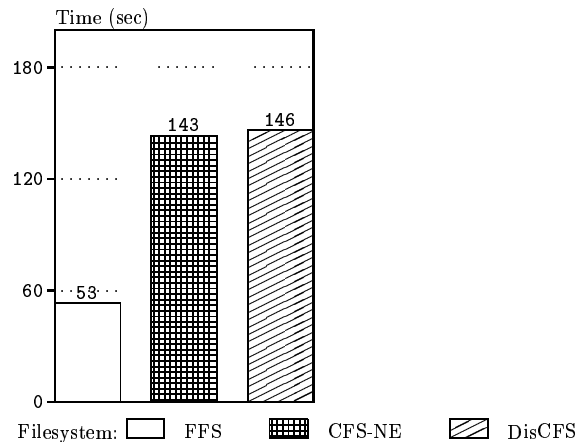


Figure 12: Filesystem Search

trusted users characteristic of the WWW, and attempting to rigorously quantify the scalability advantages offered by DisCFS.

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